

Calculational HoTT
International Conference on Homotopy Type Theory
(HoTT 2019)
Carnegie Mellon University
August 12 to 17, 2019

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Content

- 1 A FEW INITIAL WORDS
- 2 BRIEF DESCRIPTION OF CL
- 3 THE PROBLEM
- 4 DEDUCTIVE CHAINS
- 5 CALCULATIONAL HoTT
- 6 A DEDUCTION
- 7 CONCLUSIONS

Presentation

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We ended up trying to interpret HoTT in terms of CL.

The result: “Computational HoTT” (arXiv:1901.08883v2), a joint work with Bernarda Aldana and Jaime Bohorquez.

Equational axioms and Leibniz rules

Brief description of CL.

Main feature:

CL is an equational
logical systemCL axioms are
logical equations

$$A \equiv B, C \equiv D, \dots$$

CL inference rules
are Leibniz's rules

$$\frac{E[x/A] \quad A \equiv B}{E[x/B]}$$

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Calculations

Derivations in CL are deduction trees of the form:

$$\frac{\frac{\frac{E_1 \quad A \equiv B}{E_2} \quad C \equiv D}{E_3} \quad E \equiv F}{E_4}$$

where A through F are subformulas of the corresponding E_i .

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This deduction tree, written vertically, is what Lifschitz called ‘Calculation’[Lifs]:

$$\left| \begin{array}{l} \Leftrightarrow E_1 \langle A \equiv B \rangle \\ \Leftrightarrow E_2 \langle C \equiv D \rangle \\ \Leftrightarrow E_3 \langle E \equiv F \rangle \\ \Leftrightarrow E_4 \end{array} \right| \begin{array}{l} \text{which derives } E_1 \equiv E_4 \\ \text{Double arrows stand for the bidi-} \\ \text{rectionality of Leibniz rules} \end{array}$$

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There are sound and complete calculational versions of both, classical (CCL) and intuitionistic (ICL) first order logic.

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- establishing a linear calculation format as an instrument to understand proofs in HoTT book, and
- identify and derive equational judgments in HoTT.

Note: We expected to be more comfortable with a linear calculation format as an instrument to understand proofs in HoTT book.

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$A_1 \simeq A_3 <:$ if none of the premises is of the form $A \rightarrow B <:$ and at least one is of the form $A \simeq B <:$

$A_1 \equiv A_3$ if all the premises are of the form $A \equiv B$

Deductive chains

By induction we have the following derivation

$$\frac{\begin{array}{ccc} \vdots & \vdots & \vdots \\ a : A_1 & A_1 \rightsquigarrow A_2 & \cdots & A_{n-1} \rightsquigarrow A_n \end{array}}{A_n <:} .$$

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which may be represented vertically by the following format-scheme

$$\begin{array}{c} \Leftrightarrow \\ \begin{array}{c} A_n \\ \langle \dots \rangle \\ A_{n-1} \end{array} \\ \vdots \\ \Leftrightarrow \\ \begin{array}{c} A_2 \\ \langle \dots \rangle \\ A_1 \end{array} \\ \wedge \\ \begin{array}{c} \vdots \\ a \\ \langle \dots \rangle \end{array} \end{array}$$

which we called a *deductive chain*.

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The links in this format-scheme are

$$\left[\begin{array}{c} \Leftrightarrow \\ \frac{B}{A} \langle \rangle \end{array} \right]$$

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The link at the bottom of the deductive chain is called *inhabitation link*.

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Unified notation for operationals

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-Summation:

$$(\Sigma i:\mathbb{N} | 1 \leq i \leq 3 \cdot i^2) = 1^2 + 2^2 + 3^2$$

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-Logical operationals (universal and existential quantifiers)

$(\forall x:T | range \cdot term)$ for conjunction,

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[Trade] rules

$$(\forall x:T | P \cdot Q) \equiv (\forall x:T \cdot P \Rightarrow Q)$$

$$(\exists x:T | P \cdot Q) \equiv (\exists x:T \cdot P \wedge Q)$$

ICL quantified axioms and theorems

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$$\boxed{\begin{array}{l} (\forall x:T \mid x = a \cdot P) \equiv P[a/x] \\ (\exists x:T \mid x = a \cdot P) \equiv P[a/x] \end{array}} \quad (\text{ICL})$$

[One-Point]:

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[One-Point]:

$$\boxed{\prod_{x:A} \prod_{p:x=a} P(x,p) \simeq P(a, \text{refl}_a) <:} \quad (\text{HoTT})$$

$$\boxed{\sum_{x:A} \sum_{p:x=a} P(x,p) \simeq P(a, \text{refl}_a) <:}$$

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$$\boxed{(\forall x, y:T \mid x=y \cdot P) \equiv (\forall x:T \cdot P[x/y])} \quad (\text{ICL})$$

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$$(\forall x:T | P \vee Q \cdot R) \equiv (\forall x:T | P \cdot R) \wedge (\forall x:T | Q \cdot R)$$

$$(\exists x:T | P \vee Q \cdot R) \equiv (\exists x:T | P \cdot R) \vee (\exists x:T | Q \cdot R)$$

[Range Split]:

ICL quantified axioms and theorems

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[Range Split]:

$$\prod_{x:A+B} P(x) \simeq \prod_{x:A} P(\text{inl}(x)) \times \prod_{x:B} P(\text{inr}(x)) <:$$

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$$(\forall x:T | P \cdot Q \wedge R) \equiv (\forall x:T | P \cdot Q) \wedge (\forall x:T | P \cdot R)$$

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$$(\forall x:T | P \cdot Q \wedge R) \equiv (\forall x:T | P \cdot Q) \wedge (\forall x:T | P \cdot R)$$

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[Term Split]:

$$\prod_{x:A} (P(x) \times Q(x)) \simeq \prod_{x:A} P(x) \times \prod_{x:A} Q(x) <:$$

$$\sum_{x:A} (P(x) + Q(x)) \simeq \sum_{x:A} P(x) + \sum_{x:A} Q(x) <:$$

ICL quantified axioms and theorems

[Translation]

$$(\forall x:J | P \cdot Q) \equiv (\forall y:K | P[f(y)/x] \cdot Q[f(y)/x])$$

$$(\exists x:J | P \cdot Q) \equiv (\exists y:K | P[f(y)/x] \cdot Q[f(y)/x]),$$

where f is a bijection that maps values of type K to values of type J .

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[Congruence]

$$(\forall x:T \mid P \cdot Q \equiv R) \Rightarrow ((\forall x:T \mid P \cdot Q) \equiv (\forall x:T \mid P \cdot R))$$

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[Antecedent]

$$R \Rightarrow (\forall x:T \mid P \cdot Q) \equiv (\forall x:T \mid P \cdot R \Rightarrow Q)$$

$$R \Rightarrow (\exists x:T \mid P \cdot Q) \equiv (\exists x:T \mid P \cdot R \Rightarrow Q)$$

when there are not free occurrences of x in R .

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[Leibniz principles]

$$(\forall x, y:T \mid x = y \cdot f(x) = f(y))$$

$$(\exists x, y:T \mid x = y \cdot P(x) \equiv P(y))$$

where f is a function that maps values of type T to values of any other type and P is a predicate.

Equational judgments in HoTT

[Translation]

$$\prod_{x:A} P(x) \simeq \prod_{y:B} P(g(y)) <:$$

$$\sum_{x:A} P(x) \simeq \sum_{y:B} P(g(y)) <:$$

where g is an inhabitant of $B \simeq A$.

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[Congruence]

$$\prod_{x:A} (P(x) \simeq Q(x)) \rightarrow (\prod_{x:A} P(x) \simeq \prod_{x:A} Q(x)) <:$$

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[Antecedent]

$$(R \rightarrow \prod_{x:A} Q(x)) \simeq \prod_{x:A} (R \rightarrow Q(x)) <:$$

$$\sum_{x:A} (R \rightarrow Q(x)) \rightarrow (R \rightarrow \sum_{x:A} Q(x)) <:$$

when R does not depend on x .

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[Leibniz principles]

$$\prod_{x,y:A} x=y \rightarrow f(x)=f(y) <:$$

$$\prod_{x,y:A} x=y \rightarrow P(x) \simeq P(y) <:$$

where $f:A \rightarrow B$ and $P:A \rightarrow \mathcal{U}$ is a type family.

A deduction

I will derive the judgment

$$\left(\prod_{x:A} \prod_{y:B(x)} P((x, y))\right) \simeq \prod_{g:\sum_{x:A} B(x)} P(g) <: \quad (1)$$

which corresponds to the homotopic equivalence version of the Σ induction operator.

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which corresponds to the homotopic equivalence version of the Σ induction operator.

Note. The ICL theorem corresponding to (1), when P is a non-dependent type, is

$$(\forall x:T \mid B \cdot P) \equiv (\exists x:T \cdot B) \Rightarrow P$$

where x does not occur free in P .

This motivate us to call the equivalence Σ -[**Consequent**] rule.

A deduction

Recall that the Σ -induction operator

$$\sigma : \left(\prod_{x:A} \prod_{y:B(x)} P((x, y)) \right) \rightarrow \prod_{g:\sum_{x:A} B(x)} P(g)$$

is defined by

$$\sigma(u)((x, y)) :\equiv u(x)(y).$$

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Let

$$\Phi : \left(\prod_{g:\sum_{x:A} B(x)} P(g) \right) \rightarrow \prod_{x:A} \prod_{y:B(x)} P((x, y))$$

be defined by

$$\Phi(v)(x)(y) :\equiv v((x, y)).$$

A deduction

Let u be an inhabitant of $\prod_{x:A} \prod_{y:B(x)} P((x, y))$,

A deduction

Let u be an inhabitant of $\prod_{x:A} \prod_{y:B(x)} P((x, y))$, then

$$\Phi(\sigma(u)) = u$$

A deduction

Let u be an inhabitant of $\prod_{x:A} \prod_{y:B(x)} P((x, y))$, then

$$\begin{aligned} & \Phi(\sigma(u)) = u \\ \simeq & \quad \langle : ; \text{Function extensionality} \rangle \\ & \prod_{x:A} \prod_{y:B(x)} \Phi(\sigma(u))(x)(y) = u(x)(y) \end{aligned}$$

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Then $\Phi \circ \sigma$ is homotopic to the identity function of $\prod_{x:A} \prod_{y:B(x)} P((x, y))$.

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 \equiv & \quad \langle \sigma(\Phi(v))((x, y)) \equiv \Phi(v)(x)(y) \equiv v((x, y)) \rangle \\
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 \hat{=} & \quad \langle h_v(x, y) :\equiv \text{refl}_{v(x, y)} \rangle \\
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 \end{aligned}$$

So, $\sigma \circ \Phi$ is homotopic to the identity function of $\prod_{g:\Sigma_{x:A} B(x)} P(g)$.

This proves the Σ -[**Consequent**] rule.

Example

Application of Π -translation rule (to prove $\text{isSet}(\mathbb{N}) <:$). We can use the translation rule to prove $\text{isSet}(\mathbb{N}) <:$

In fact, let $\Phi : m = n \rightarrow \text{code}(m, n)$ be defined by $\Phi := \text{encode}(m, n)$ and let $\Psi : \text{code}(m, n) \rightarrow m = n$ be defined by $\Psi := \text{decode}(m, n)$. Then,

$$\begin{aligned}
 & \text{isSet}(\mathbb{N}) \\
 \equiv & \quad \langle \text{Definition of isSet} \rangle \\
 & \prod_{m, n: \mathbb{N}} \prod_{p, q: m=n} p = q \\
 \simeq & \quad \langle \Pi\text{-translation rule ; } m = n \simeq \text{code}(m, n) \rangle \\
 & \prod_{m, n: \mathbb{N}} \prod_{s, t: \text{code}(m, n)} \Psi(s) = \Psi(t) \\
 \hat{=} & \quad \langle \text{See definition of } h \text{ below} \rangle \\
 & h
 \end{aligned}$$

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- 1 Deductive chains are really formal linear tools to prove theorems in HoTT.
- 2 There is an embedding of ICL in HOTT. In particular we found that the Eindhoven quantifiers correspond to the main dependent types in HoTT.
- 3 We found strong evidence that it is possible to restate the whole of HoTT giving equality and homotopic equivalence a preminent role, both, axiomatically and proof-theoretically.



T. Univalent Foundations Program.

Homotopy Type Theory: Univalent Foundations of Mathematics URL

<https://homotopytypetheory.org/book>.

Institute for Advanced Study, 2013.