

Universal Algebra in HoTT

Andreas Lynge and Bas Spitters

Aarhus University

August 13, 2019

Introduction

- Universal algebra is a general study of algebraic structures. The results in universal algebra apply to all “algebras”, e.g. groups, rings, modules.
- We have formalized a part of universal algebra in the HoTT library for Coq, including the three isomorphism theorems.
- Based on the math-classes library.
- Type theoretic universal algebra often relies on setoids.
- We avoid setoids in the HoTT library, quotient sets are HITs.

Group

Example (Group)

A group is an h-set G : Set with

- unit $e : G$
- multiplication $\cdot : G \rightarrow G \rightarrow G$
- inversion $(-)^{-1} : G \rightarrow G$
- satisfying certain equations, e.g. $x \cdot x^{-1} = e$ for all $x : G$.

Group acting on a set

Example (Group)

A group is an h-set $G : \text{Set}$ with

- unit $e : G$
- multiplication $\cdot : G \rightarrow G \rightarrow G$
- inversion $(-)^{-1} : G \rightarrow G$
- satisfying certain equations, e.g. $x \cdot x^{-1} = e$ for all $x : G$.

Example (Group acting on a set)

A group acting on a set is a group G and an h-set S with

- action $\alpha : G \rightarrow S \rightarrow S$
- $\alpha(x \cdot y) = \alpha(x) \circ \alpha(y)$
- $\alpha(e) = \text{id}_S$

Signature

Definition (Signature)

A signature $\sigma : \text{Signature}$ consists of

- $\text{Sort}(\sigma) : \mathcal{U}$
- $\text{Symbol}(\sigma) : \mathcal{U}$
- for each $u : \text{Symbol}(\sigma)$, $\sigma_u : \text{Sort}(\sigma) \times \text{List}(\text{Sort}(\sigma))$.

Algebra

Definition (Signature)

A signature $\sigma : \text{Signature}$ consists of

- $\text{Sort}(\sigma) : \mathcal{U}$
- $\text{Symbol}(\sigma) : \mathcal{U}$
- for each $u : \text{Symbol}(\sigma)$, $\sigma_u : \text{Sort}(\sigma) \times \text{List}(\text{Sort}(\sigma))$.

Definition (Algebra)

An algebra $A : \text{Algebra}(\sigma)$ for $\sigma : \text{Signature}$ consists of

- for each $s : \text{Sort}(\sigma)$, $A_s : \text{Set}$
- for each $u : \text{Symbol}(\sigma)$, $u^A : A_{s_1} \rightarrow A_{s_2} \rightarrow \dots \rightarrow A_{s_n}$, where $(s_1, [s_2, \dots, s_n]) : \equiv \sigma_u$.

Example (Group acting on a set)

A group G acting on a set S ,

- unit $e : G$
- multiplication $\cdot : G \rightarrow G \rightarrow G$
- inversion $(-)^{-1} : G \rightarrow G$
- action $\alpha : G \rightarrow S \rightarrow S$,

is an algebra $A : \text{Algebra}(\sigma)$ for $\sigma : \text{Signature}$ with

- $\text{Sort}(\sigma) \equiv \{g, s\}$
- $\text{Symbol}(\sigma) \equiv \{u, m, i, a\}$
- $\sigma_u \equiv (g, [])$, $\sigma_m \equiv (g, [g, g])$, $\sigma_i \equiv (g, [g])$, $\sigma_a \equiv (g, [s, s])$.

Carriers $A_g \equiv G$ and $A_s \equiv S$, and operations

- $u^A : A_g$ is unit
- $m^A : A_g \rightarrow A_g \rightarrow A_g$ is multiplication
- $i^A : A_g \rightarrow A_g$ is inversion
- $a^A : A_g \rightarrow A_s \rightarrow A_s$ is the action.

Let $A, B, C : \text{Algebra}(\sigma)$.

Homomorphism

Let $A, B, C : \text{Algebra}(\sigma)$.

Definition (Homomorphism)

A homomorphism $f : A \rightarrow B$ consists of

- $f_s : A_s \rightarrow B_s$ for all $s : \text{Sort}(\sigma)$
- $f_{s_t}(u^A(x_1, \dots, x_n)) = u^B(f_{s_1}(x_1), \dots, f_{s_n}(x_n))$,
for all $u : \text{Symbol}(\sigma)$.

Isomorphism

Let $A, B, C : \text{Algebra}(\sigma)$.

Definition (Homomorphism)

A homomorphism $f : A \rightarrow B$ consists of

- $f_s : A_s \rightarrow B_s$ for all $s : \text{Sort}(\sigma)$
- $f_{s_t}(u^A(x_1, \dots, x_n)) = u^B(f_{s_1}(x_1), \dots, f_{s_n}(x_n))$,
for all $u : \text{Symbol}(\sigma)$.

Definition (Isomorphism)

An isomorphism is a homomorphism $f : A \rightarrow B$ where $f_s : A_s \rightarrow B_s$ is an equivalence for all $s : \text{Sort}(\sigma)$.

Isomorphic

Let $A, B, C : \text{Algebra}(\sigma)$.

Definition (Homomorphism)

A homomorphism $f : A \rightarrow B$ consists of

- $f_s : A_s \rightarrow B_s$ for all $s : \text{Sort}(\sigma)$
- $f_{s_t}(u^A(x_1, \dots, x_n)) = u^B(f_{s_1}(x_1), \dots, f_{s_n}(x_n))$,
for all $u : \text{Symbol}(\sigma)$.

Definition (Isomorphism)

An isomorphism is a homomorphism $f : A \rightarrow B$ where $f_s : A_s \rightarrow B_s$ is an equivalence for all $s : \text{Sort}(\sigma)$.

Definition (Isomorphic)

Write $A \cong B$ for there is an isomorphism $A \rightarrow B$.

Isomorphic implies equal

Theorem (Isomorphic implies equal)

If $A \cong B$ then $A = B$.

- Coquand and Danielsson, Isomorphism is equality.

Lemma

Theorem (Isomorphic implies equal)

If $A \cong B$ then $A = B$.

- Coquand and Danielsson, Isomorphism is equality.

Lemma

Suppose

- $X, Y : \text{Sort}(\sigma) \rightarrow \text{Set}$
- $\alpha : X_{s_1} \rightarrow \dots \rightarrow X_{s_n} \rightarrow X_t$ and $\beta : Y_{s_1} \rightarrow \dots \rightarrow Y_{s_n} \rightarrow Y_t$
- $f : \prod_s X_s \simeq Y_s$
- $f_t(\alpha(x_1, \dots, x_n)) = \beta(f_{s_1}(x_1), \dots, f_{s_n}(x_n))$.

Then

$$\text{transport}^{(\lambda Z. Z_{s_1} \rightarrow \dots \rightarrow Z_{s_n} \rightarrow Z_t)} \underbrace{\left(\text{funext} \left(\text{ua} \circ f \right) \right)}_{X=Y} (\alpha) = \beta$$

Precategory of algebras

Lemma (Precategory of algebras)

There is a precategory $\sigma\text{-Alg}$ of $\text{Algebra}(\sigma)$ and homomorphisms,

- $(1_A)_s \equiv \lambda x. x, \quad s : \text{Sort}(\sigma)$
- $(gf)_s \equiv g_s \circ f_s, \quad f : A \rightarrow B, g : B \rightarrow C$

Equal is equivalent to isomorphic

Lemma (Precategory of algebras)

There is a precategory σ -**Alg** of $\text{Algebra}(\sigma)$ and homomorphisms,

- $(1_A)_s \equiv \lambda x. x, \quad s : \text{Sort}(\sigma)$
- $(gf)_s \equiv g_s \circ f_s, \quad f : A \rightarrow B, g : B \rightarrow C$

Theorem (Equal is equivalent to isomorphic)

The function $(A = B) \rightarrow (A \cong B)$ is an equivalence.

Univalent category of algebras

Lemma (Precategory of algebras)

There is a precategory σ -**Alg** of $\text{Algebra}(\sigma)$ and homomorphisms,

- $(1_A)_s \equiv \lambda x. x, \quad s : \text{Sort}(\sigma)$
- $(gf)_s \equiv g_s \circ f_s, \quad f : A \rightarrow B, g : B \rightarrow C$

Theorem (Equal is equivalent to isomorphic)

The function $(A = B) \rightarrow (A \cong B)$ is an equivalence.

Theorem (Univalent category of algebras)

The precategory σ -**Alg** is a univalent category.

- HoTT book, <http://homotopytypetheory.org/book>.
- Arhens and Lumsdaine, Displayed Categories.

Congruence

Definition (Congruence)

A congruence on A is a family of mere equivalence relations

$\Theta : \prod_s (A_s \rightarrow A_s \rightarrow \text{Prop})$ where

$\Theta_{s_1}(x_1, y_1) \times \cdots \times \Theta_{s_n}(x_n, y_n)$ implies

$\Theta_{s_t}(u^A(x_1, \dots, x_n), u^A(y_1, \dots, y_n))$ for all $u : \text{Symbol}(\sigma)$.

Quotient algebra

Definition (Congruence)

A congruence on A is a family of mere equivalence relations

$\Theta : \prod_s (A_s \rightarrow A_s \rightarrow \text{Prop})$ where

$\Theta_{s_1}(x_1, y_1) \times \cdots \times \Theta_{s_n}(x_n, y_n)$ implies

$\Theta_{s_t}(u^A(x_1, \dots, x_n), u^A(y_1, \dots, y_n))$ for all $u : \text{Symbol}(\sigma)$.

Definition (Quotient algebra)

Let $\Theta : \prod_s (A_s \rightarrow A_s \rightarrow \text{Prop})$ be a congruence. The quotient algebra A/Θ consists of

- $(A/\Theta)_s := A_s/\Theta_s$, the set-quotient
- operations $u^{A/\Theta}(q_1(x_1), \dots, q_n(x_n)) = q_t(u^A(x_1, \dots, x_n))$, where $q_i : A_{s_i} \rightarrow A_{s_i}/\Theta_{s_i}$ are the set-quotient constructors.

Suppose $\Theta : \prod_s (A_s \rightarrow A_s \rightarrow \text{Prop})$ is a congruence.

Quotient homomorphism

Suppose $\Theta : \prod_s (A_s \rightarrow A_s \rightarrow \text{Prop})$ is a congruence.

Lemma (Quotient homomorphism)

There is a homomorphism $\rho : A \rightarrow A/\Theta$, pointwise $A_s \rightarrow A_s/\Theta_s$.

Quotient universal property

Suppose $\Theta : \prod_s (A_s \rightarrow A_s \rightarrow \text{Prop})$ is a congruence.

Lemma (Quotient homomorphism)

There is a homomorphism $\rho : A \rightarrow A/\Theta$, pointwise $A_s \rightarrow A_s/\Theta_s$.

Lemma (Quotient universal property)

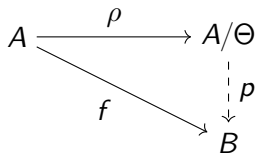
Precomposition with $\rho : A \rightarrow A/\Theta$ induces an equivalence

$$(A/\Theta \rightarrow B) \simeq \sum_{f:A \rightarrow B} \text{resp}(f),$$

where $\text{resp}(f) := \prod_{s:\text{Sort}(\sigma)} \prod_{x,y:A_s} (\Theta_s(x,y) \rightarrow f_s(x) = f_s(y))$.

Let $f : A \rightarrow B$ such that $\text{resp}(f)$. Then there is a unique $p : A/\Theta \rightarrow B$ satisfying $f = pq$.

Coequalizers in $\sigma\text{-Alg}$ are quotient algebras.



Product algebra

Product algebra

Let $F : I \rightarrow \text{Algebra}(\sigma)$. The product algebra $\times_i F(i)$ has carriers

$$(\times_i F(i))_s \equiv \prod_i (F(i))_s$$

There are projection homomorphisms $\pi_j : \times_i F(i) \rightarrow F(j)$.

Products in σ -**Alg** are product algebras.

Subalgebra

Product algebra

Let $F : I \rightarrow \text{Algebra}(\sigma)$. The product algebra $\times_i F(i)$ has carriers

$$(\times_i F(i))_s \equiv \prod_i (F(i))_s$$

There are projection homomorphisms $\pi_j : \times_i F(i) \rightarrow F(j)$.
Products in $\sigma\text{-Alg}$ are product algebras.

Subalgebra

Let $P : \prod_s (A_s \rightarrow \text{Prop})$ such that, for any $u : \text{Symbol}(\sigma)$,

$$P_{s_1}(x_1) \times \cdots \times P_{s_n}(x_n) \quad \text{implies} \quad P_{n+1}(u^A(x_1, \dots, x_n)),$$

where $(s_1, [s_2, \dots, s_{n+1}]) \equiv \sigma_u$.

Then there is a subalgebra $A\&P$ with carriers

$$(A\&P)_s \equiv \sum_{x:A_s} P_s(x)$$

There exists an inclusion homomorphism $(A\&P) \rightarrow A$.
Equalizers in $\sigma\text{-Alg}$ are subalgebras.

First isomorphism theorem

Theorem (First isomorphism/identification theorem)

Let $f : A \rightarrow B$ be a homomorphism.

- $\ker(f)(s, x, y) := (f_s(x) = f_s(y))$ is a congruence.
- $\text{inim}(f)(s, y) := \|\sum_x (f_s(x) = y)\|$ is closed under operations, so it induces a subalgebra $B \& \text{inim}(f)$ of B .
- There exists an isomorphism $A / \ker(f) \rightarrow B \& \text{inim}(f)$.

First identification theorem

Theorem (First isomorphism/identification theorem)

Let $f : A \rightarrow B$ be a homomorphism.

- $\ker(f)(s, x, y) \equiv (f_s(x) = f_s(y))$ is a congruence.
- $\text{inim}(f)(s, y) \equiv \|\sum_x (f_s(x) = y)\|$ is closed under operations, so it induces a subalgebra $B \& \text{inim}(f)$ of B .
- There exists an isomorphism $A / \ker(f) \rightarrow B \& \text{inim}(f)$.
- Therefore $A / \ker(f) = B \& \text{inim}(f)$.

The category of algebras is regular

Theorem (First isomorphism/identification theorem)

Let $f : A \rightarrow B$ be a homomorphism.

- $\ker(f)(s, x, y) := (f_s(x) = f_s(y))$ is a congruence.
- $\text{inim}(f)(s, y) := \|\sum_x (f_s(x) = y)\|$ is closed under operations, so it induces a subalgebra $B \& \text{inim}(f)$ of B .
- There exists an isomorphism $A / \ker(f) \rightarrow B \& \text{inim}(f)$.
- Therefore $A / \ker(f) = B \& \text{inim}(f)$.

Category $\sigma\text{-Alg}$ is regular,

- $f : A \rightarrow B$ image factorizes $A \rightarrow B \& \text{inim}(f) \hookrightarrow B$
- images are pullback stable.
- $\sigma\text{-Alg}$ is complete

Conclusion and future work

- Type theoretic universal algebra without setoids.
- Port free algebras from math-classes.
- Define variety (equational theory), a subtype of $\text{Algebra}(\sigma)$ satisfying equational laws involving operations.
- Birkhoff's HSP theorem.
- A verified computer algebra library.