

A Foundation for Synthetic Algebraic Geometry

Felix Cherubini, Thierry Coquand, Matthias Hutzler

Homotopy Type Theory 2023

Thinking about algebra in a geometric way

Let R be a ring.

$$X^2 + Y = 0$$

$$Y^2 = 0$$

Thinking about algebra in a geometric way

Let R be a ring.

$$\text{Spec} \begin{pmatrix} X^2 + Y = 0 \\ Y^2 = 0 \end{pmatrix} := \left\{ (x, y) \in R^2 \mid x^2 + y = 0, y^2 = 0 \right\}$$

Thinking about algebra in a geometric way

Let R be a ring.

$$\operatorname{Spec} \left(\begin{array}{l} X^2 + Y = 0 \\ Y^2 = 0 \end{array} \right) := \left\{ (x, y) \in R^2 \mid x^2 + y = 0, y^2 = 0 \right\}$$

$$\operatorname{Spec} \left(\underbrace{(X^2 + Y, Y^2)}_{\text{ideal in } R[X, Y]} \right) := \left\{ (x, y) \in R^2 \mid x^2 + y = 0, y^2 = 0 \right\}$$

Thinking about algebra in a geometric way

Let R be a ring.

$$\operatorname{Spec} \left(\begin{array}{l} X^2 + Y = 0 \\ Y^2 = 0 \end{array} \right) := \left\{ (x, y) \in R^2 \mid x^2 + y = 0, y^2 = 0 \right\}$$

$$\operatorname{Spec} \left(\underbrace{(X^2 + Y, Y^2)}_{\text{ideal in } R[X, Y]} \right) := \left\{ (x, y) \in R^2 \mid x^2 + y = 0, y^2 = 0 \right\}$$

$$\operatorname{Spec} \left(R[X, Y]/(X^2 + Y, Y^2) \right) := \left\{ (x, y) \in R^2 \mid x^2 + y = 0, y^2 = 0 \right\}$$

$$\operatorname{Spec}(A) := \operatorname{Hom}_{R\text{-Alg}}(A, R)$$

Thinking about algebra in a geometric way

Let R be a ring.

$$\text{Spec} \left(\begin{array}{l} X^2 + Y = 0 \\ Y^2 = 0 \end{array} \right) := \left\{ (x, y) \in R^2 \mid x^2 + y = 0, y^2 = 0 \right\}$$

$$\text{Spec} \left(\underbrace{(X^2 + Y, Y^2)}_{\text{ideal in } R[X, Y]} \right) := \left\{ (x, y) \in R^2 \mid x^2 + y = 0, y^2 = 0 \right\}$$

$$\text{Spec} \left(R[X, Y]/(X^2 + Y, Y^2) \right) := \left\{ (x, y) \in R^2 \mid x^2 + y = 0, y^2 = 0 \right\}$$

$$\text{Spec}(A) := \text{Hom}_{R\text{-Alg}}(A, R)$$

But does $\text{Spec}(A)$ retain all information from A ? No. :-)

Classical vs synthetic

How can we make the functor

$$A \mapsto \text{Spec}(A)$$

fully faithful?

Classical algebraic geometry	Synthetic algebraic geometry
Endow $\text{Spec}(A)$ with additional structure: <ul style="list-style-type: none">▶ Zariski topology▶ structure sheaf $\mathcal{O}_{\text{Spec}(A)}$	Just postulate it! :-) Axiom (SQC)¹ . The map $A \rightarrow R^{\text{Spec } A}$ $a \mapsto (\varphi \mapsto \varphi(a))$ is an equivalence, for every finitely presented R -algebra A .

¹“Synthetic Quasi-Coherence”, due to Ingo Blechschmidt

Basic consequences of SQC

$$A \xrightarrow{\sim} R^{\text{Spec } A}$$

► $\text{Spec}(R[X]) = R$. Thus: $R[X] \xrightarrow{\sim} R^R$

Basic consequences of SQC

$$A \xrightarrow{\sim} R^{\text{Spec } A}$$

▶ $\text{Spec}(R[X]) = R$. Thus: $R[X] \xrightarrow{\sim} R^R$

If $\text{Spec}(A) = \emptyset$, then $A = R^\emptyset = 0$.

- ▶ $\text{Spec}(R/(r)) = (r = 0)$. Thus: if $r \neq 0$, then r is invertible.
- ▶ $\text{Spec}(R[r^{-1}]) = (r \text{ is invertible})$. Thus: if r is not invertible, then r is nilpotent.

Basic consequences of SQC

$$A \xrightarrow{\sim} R^{\text{Spec } A}$$

▶ $\text{Spec}(R[X]) = R$. Thus: $R[X] \xrightarrow{\sim} R^R$

If $\text{Spec}(A) = \emptyset$, then $A = R^\emptyset = 0$.

▶ $\text{Spec}(R/(r)) = (r = 0)$. Thus: if $r \neq 0$, then r is invertible.

▶ $\text{Spec}(R[r^{-1}]) = (r \text{ is invertible})$. Thus: if r is not invertible, then r is nilpotent.

Axiom: The ring R is local.

▶ If $r_1, \dots, r_n : R$ are not all zero, then some r_i is invertible.

Closed and open propositions

For $r_1, \dots, r_n : R$ we have the propositions

$$V(r_1, \dots, r_n) := (r_1 = \dots = r_n = 0),$$

$$D(r_1, \dots, r_n) := (r_1 \text{ inv. } \vee \dots \vee r_n \text{ inv.}).$$

Then define:

$$\text{closedProp} := \sum_{p:\text{hProp}} \exists r_1, \dots, r_n. (p = V(r_1, \dots, r_n))$$

$$\text{openProp} := \sum_{p:\text{hProp}} \exists r_1, \dots, r_n. (p = D(r_1, \dots, r_n))$$

A *closed subtype* of X is a map $X \rightarrow \text{closedProp}$.

An *open subtype* of X is a map $X \rightarrow \text{openProp}$.

Schemes

A type X is an *affine scheme* if it is of the form $X = \text{Spec}(A)$.

A type X is a *scheme* if there exist $U_1, \dots, U_n : X \rightarrow \text{openProp}$ such that $X = \bigcup_i U_i$ and every U_i is an affine scheme.

Schemes

A type X is an *affine scheme* if it is of the form $X = \text{Spec}(A)$.

A type X is a *scheme* if there exist $U_1, \dots, U_n : X \rightarrow \text{openProp}$ such that $X = \bigcup_i U_i$ and every U_i is an affine scheme.

Example. *Projective n -space:*

$$\begin{aligned}\mathbb{P}^n &:= \{ \text{lines through } 0 \text{ in } R^{n+1} \} \\ &:= \{ \text{sub-}R\text{-modules } L \subseteq R^{n+1} \text{ such that } \|L = R^1\| \}\end{aligned}$$

is a scheme:

$$U_i(L) := (b_i \text{ is invertible}) \text{ (for any chosen base } \{b\} \text{ of } L)$$

Line bundles

The type

$$\text{Lines} := \sum_{L:R\text{-Mod}} \|L = R^1\|$$

has a wild group structure:

- ▶ $L \otimes L'$ is again a line
- ▶ $L^\vee := \text{Hom}(L, R^1)$ is the inverse

Line bundles

The type

$$\text{Lines} := \sum_{L:R\text{-Mod}} \|L = R^1\|$$

has a wild group structure:

- ▶ $L \otimes L'$ is again a line
- ▶ $L^\vee := \text{Hom}(L, R^1)$ is the inverse

A *line bundle* on X is a map $X \rightarrow \text{Lines}$.

Example. tautological line bundle on \mathbb{P}^n

Line bundles

The type

$$\text{Lines} := \sum_{L:R\text{-Mod}} \|L = R^1\|$$

has a wild group structure:

- ▶ $L \otimes L'$ is again a line
- ▶ $L^\vee := \text{Hom}(L, R^1)$ is the inverse

A *line bundle* on X is a map $X \rightarrow \text{Lines}$.

Example. tautological line bundle on \mathbb{P}^n

The *Picard group* of X is

$$\text{Pic}(X) := \|X \rightarrow \text{Lines}\|_{\text{set}}.$$

(In fact, $\text{Lines} = K(R^\times, 1)$ and $\text{Pic}(X) = H^1(X, R^\times)$.)

Zariski-local choice

For $f : A$ define $D(f) := \{ \varphi : \text{Spec}(A) \mid \varphi(f) \text{ is invertible} \}$.

Axiom (Zariski-local choice):

For every surjective π , there merely exist local sections s_i

$$\begin{array}{ccc} & \overset{s_i}{\curvearrowright} & E \\ & & \downarrow \pi \\ D(f_i) & \hookrightarrow & \text{Spec}(A) \end{array}$$

with $f_1, \dots, f_n : A$ coprime.

Zariski-local choice

For $f : A$ define $D(f) := \{ \varphi : \text{Spec}(A) \mid \varphi(f) \text{ is invertible} \}$.

Axiom (Zariski-local choice):

For every surjective π , there merely exist local sections s_i

$$\begin{array}{ccc} & \overset{s_i}{\curvearrowright} & E \\ & & \downarrow \pi \\ D(f_i) & \hookrightarrow & \text{Spec}(A) \end{array}$$

with $f_1, \dots, f_n : A$ coprime.

Some consequences:

- ▶ Every line bundle (on a scheme) is locally trivial.
- ▶ $(\text{Spec } A \rightarrow \text{closedProp}) \cong \{ \text{fin. gen. ideals in } A \}$
- ▶ $(\text{Spec } A \rightarrow \text{openProp}) \cong \{ \text{fin. gen. radical ideals in } A \}$
- ▶ If $U \subseteq X$ open and $V \subseteq U$ open, then $V \subseteq X$ open.

The scheme classifier

Let $\text{Sch} \hookrightarrow \text{Type}$ be the type of schemes.

Theorem. Let X be a scheme and $Y : X \rightarrow \text{Sch}$ be given. Then $\sum_{x:X} Y(x)$ is a scheme.

Corollary. For $f : Y \rightarrow X$ a map between schemes and $x : X$, the fiber $\sum_{y:Y} \underbrace{f(y) = x}_{\text{a scheme}}$ is a scheme.

The scheme classifier

Let $\text{Sch} \hookrightarrow \text{Type}$ be the type of schemes.

Theorem. Let X be a scheme and $Y : X \rightarrow \text{Sch}$ be given. Then $\sum_{x:X} Y(x)$ is a scheme.

Corollary. For $f : Y \rightarrow X$ a map between schemes and $x : X$, the fiber $\sum_{y:Y} \underbrace{f(y) = x}_{\text{a scheme}}$ is a scheme.

This means we have a scheme classifier:

$$\text{Sch}/X = (X \rightarrow \text{Sch})$$

In particular, we have a subscheme-classifier: $\text{Sch} \cap \text{hProp}$.

Thank you!