

Internal sums for synthetic fibered $(\infty, 1)$ -categories

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From geometric morphisms...

- A *geometric morphism* $f : \mathcal{F} \rightarrow \mathcal{E}$ between toposes is an adjunction $f^* : \mathcal{F} \rightleftarrows \mathcal{E} : f_*$ where f^* preserves finite limits.
- We think of \mathcal{F} as a topos *over* \mathcal{E} (Grothendieck's relative point of view).
- Fibered view (Bénabou, Moens, Jibladze): this corresponds to a fibration $p : \mathcal{X} \rightarrow \mathcal{E}$ of toposes, where $p^{-1}(1) \simeq \mathcal{F}$
- Question: When is a functor $F : \mathcal{E} \rightarrow \mathcal{F}$ the inverse image part of a geometric morphism?
- Answer (Bénabou '74): If and only if F preserves 1 and the *Artin gluing* $\text{gl}(F) : \mathcal{F} \downarrow F \rightarrow \mathcal{E}$ is a fibration of toposes *with internal sums*

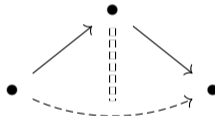
$$\begin{array}{ccc}
 \mathcal{F} \downarrow F & \longrightarrow & \mathcal{F}^{\rightarrow} \\
 \text{gl}(F) \downarrow & \lrcorner & \downarrow \text{cod} \\
 \mathcal{E} & \xrightarrow{F} & \mathcal{F}
 \end{array}$$

... to finite limit fibrations

- Hence, more generally: Characterize those fibered categories $p : E \rightarrow B$ that are of the form $\mathrm{gl}(F)$ for some finite limit preserving functor $F : B \rightarrow C$ between finitely complete categories B and C .
- Moens '82: Characterization as *lexensive* fibrations. Recall: A bicomplete category \mathcal{C} is *lexensive* if $\prod_{i \in I} \mathcal{C}/a_i \simeq \mathcal{C}/\prod_{i \in I} a_i$ for all (small) families $(a_i)_{i \in I}$ of objects in \mathcal{C} .
- Our result: Moens' Theorem for $(\infty, 1)$ -categories. . .
- . . . internally to an ∞ -topos (cf. the talks by Mathieu and Louis)
- . . . in type theory!
- Generalizing Streicher's account [Str22].

The concept of $(\infty, 1)$ -category

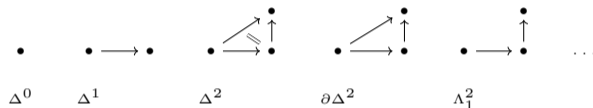
- $(\infty, 1)$ -**categories**: weak composition of 1-morphisms given uniquely *up to contractibility*



- How to express this in HoTT?
- *Problem*: We have path types $(a =_A b)$, but what about directed hom types $(a \rightarrow_A b)$?
- Several possible approaches; see e.g. the talks by Matthew, Robert, Jacob, Julian, and Christopher

Simplicial HoTT

- Riehl–Shulman’ 17: simplicial extension of HoTT
- add strict shapes



- add extension types (Lumsdaine–Shulman, cf. Cubical Type Theory):

Input:

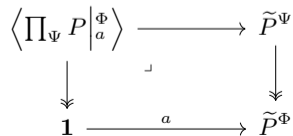
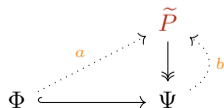
- shape inclusion $\Phi \hookrightarrow \Psi$
- family $P : \Psi \rightarrow \mathcal{U}$
- partial section $a : \prod_{t:\Phi} P(t)$

 \leadsto

Extension type $\langle \prod_{\Psi} P \Big|_a^{\Phi} \rangle$

with terms $b : \prod_{\Psi} P$ such that $b|_{\Phi} \equiv a$.

Semantically:



Synthetic $(\infty, 1)$ -category theory

- Enables $(\infty, 1)$ -category theory in (simplicial) HoTT
- *Could ∞ -Category Theory be taught to Undergraduates?* (E. Riehl, Notices of the AMS **70**(5). May 2023, 727–736)
- Internalized (parts of) the fibrational theory of Riehl–Verity’s ∞ -cosmoses [RV22] in [BW23] and my thesis
- Prototype proof assistant `rzk` developed by Kudasov

Extension types

- Semantically, get algebraic structure making extension types strictly stable under substitution, cf. Steve's talk; see W' 22: [arXiv:2203.07194](https://arxiv.org/abs/2203.07194).
- Extension types are homotopically well-behaved, assuming a function extensionality axiom.

- De-/strictification [BW23]: $\langle \prod_{x:(I|\psi)} A(x) \Big|_{\varphi}^a \rangle \simeq \sum_{f:\prod_{x:(I|\psi)} A(x)} \prod_{x:(I|\varphi)} (ax = fx)$

- A square

$$\begin{array}{ccc}
 \Phi & \xrightarrow{\kappa} & \tilde{P} \\
 \downarrow j & \exists! & \downarrow \pi \\
 \Psi & \xrightarrow{\sigma} & B
 \end{array}$$

possesses a diagonal filler uniquely up to contractibility if and only if the following proposition holds:

$$\text{isContr}\left(\left\langle \prod_{t:\Psi} P(\sigma(s)) \Big|_{\kappa}^{\Phi} \right\rangle\right)$$

Hom types I

Definition (Hom types, [RS17])

Let B be a type. Fix terms $a, b : B$. The type of *arrows in B from a to b* is the extension type

$$\text{hom}_B(a, b) := (a \rightarrow_B b) := \left\langle \Delta^1 \rightarrow B \Big|_{[a,b]}^{\partial\Delta^1} \right\rangle.$$

Definition (Dependent hom types, [RS17])

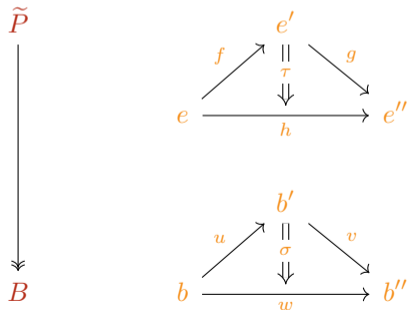
Let $P : B \rightarrow \mathcal{U}$ be family. Fix an arrow $u : \text{hom}_B(a, b)$ in B and points $d : P a, e : P b$ in the fibers. The type of *dependent arrows in P over u from d to e* is the extension type

$$\text{dhom}_{P,u}(d, e) := (d \rightarrow_u^P e) := \left\langle \prod_{t:\Delta^1} P(u(t)) \Big|_{[d,e]}^{\partial\Delta^1} \right\rangle.$$

Hom types II

We will also be considering types of 2-cells: For arrows u, v, w in B with f, g, h in P lying above, with appropriate co-/domains, let

$$\mathrm{hom}_B^2(u, v; w) := \left\langle \Delta^2 \rightarrow B \Big|_{[u, v, w]}^{\partial \Delta^2} \right\rangle, \quad \mathrm{dhom}_\sigma^{2, P}(f, g; h) := \left\langle \prod_{\langle t, s \rangle: \Delta^2} P(\sigma(t, s)) \Big|_{[f, g, h]}^{\partial \Delta^2} \right\rangle.$$



Segal, Rezk, and discrete(=groupoidal) types

Can now define synthetic $(\infty, 1)$ -categories using shapes and extension types; recall Julie's talk

Definition (Synthetic $(\infty, 1)$ -categories, [RS17])

- **Synthetic pre- $(\infty, 1)$ -category aka Segal type:** types A with *weak composition*, i.e.:

$$\iota : \Lambda_1^2 \hookrightarrow \Delta^2 \rightsquigarrow A^\iota : A^{\Delta^2} \xrightarrow{\simeq} A^{\Lambda_1^2} \quad (\text{Joyal}).$$

- **Synthetic $(\infty, 1)$ -category aka Rezk type:** Segal types A satisfying *Rezk completeness/local univalence*, i.e.

$$\text{idtoiso}_A : \prod_{x,y:A} (x =_A y) \xrightarrow{\simeq} \text{iso}_A(x, y).$$

- **Synthetic ∞ -groupoid aka discrete type:** types A such that *every arrow is invertible*, i.e.

$$\text{idtoarr}_A : \prod_{x,y:A} (x =_A y) \xrightarrow{\simeq} \text{hom}_A(x, y).$$

Cocartesian families: Motivation

- Any type family $P : B \rightarrow \mathcal{U}$ **transforms covariantly** in paths:

$$u : a =_B b \quad \rightsquigarrow \quad u_! : P a \rightarrow P b$$

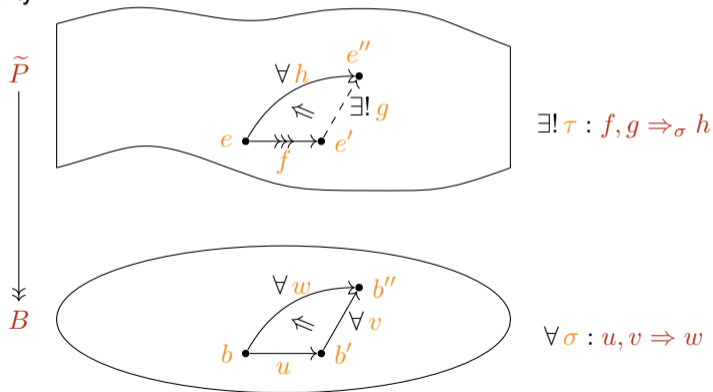
- What about the **directed** analogue? We'd like:

$$u : a \rightarrow_B b \quad \rightsquigarrow \quad u_! : P a \rightarrow P b$$

- This is true for **functorial** families $P : B \rightarrow \mathcal{U}$.
- Different notions of **fibrations**, investigated by Riehl–Shulman, and later Buchholtz–W [BW23] and W.
- Satisfy **directed arrow induction** *aka* **type-theoretic Yoneda Lemmas** (originally due to [RS17], also in [RV22]).
- Formalization (in progress) in rzk: <https://github.com/emilyriehl/yoneda>

Cocartesian arrows: Intuition

Intuitively: An arrow $f : e \rightarrow_u^P e'$ over $u : b \rightarrow_B b'$ is *cocartesian* if it satisfies the following universal property:



Cocartesian arrows: Definition

Definition (Cocartesian arrows ([BW23], cf. [RV22]))

Let B be a type and $P : B \rightarrow \mathcal{U}$ be an inner family. Let $b, b' : B$, $u : \text{hom}_B(b, b')$, and $e : P b$, $e' : P b'$. An arrow $f : \text{hom}_{P u}(e, e')$ is a (P) -cocartesian morphism or (P) -cocartesian arrow iff

$$\text{isCocartArr}_P f := \prod_{\sigma : \langle \Delta^2 \rightarrow B \mid_u \Delta^1_0 \rangle} \prod_{h : \prod_{t : \Delta^1} P \sigma(t, t)} \text{isContr} \left(\left\langle \prod_{\langle t, s \rangle : \Delta^2} P \sigma(t, s) \Big|_{[f, h]}^{\Lambda^2_0} \right\rangle \right).$$

Notice that being a cocartesian arrow is a proposition. Over a Segal base, this amounts to:

$$\begin{aligned} \text{isCocartArr}_P f &\simeq \prod_{b'' : B} \prod_{v : \text{hom}_B(b', b'')} \prod_{w : \text{hom}_B(b, b'')} \prod_{\sigma : \text{hom}_B^2(u, v; w)} \prod_{e'' : P b''} \prod_{h : \text{dhom}_{P w}(e, e'')} \\ &\text{isContr} \left(\sum_{g : \text{dhom}_{P v}(e', e'')} \text{dhom}_{P \sigma}^2(f, g; h) \right) \end{aligned}$$

Cocartesian families: Definition

Definition (Cocartesian family ([BW23], cf. [RV22]))

Let B be a Rezk type and $P : B \rightarrow \mathcal{U}$ be a family such that \tilde{P} is a Rezk type. Then P is a *cocartesian family* if:

$$\text{hasCocartLifts } P \equiv \prod_{b, b' : B} \prod_{u : b \rightarrow b'} \prod_{e : P b} \prod_{e' : P b'} \sum_{f : e \rightarrow_u e'} \text{isCocartArr}_P f$$

A map $\pi : E \rightarrow B$ is a *cocartesian fibration* iff $P \equiv \text{St}_B(\pi)$ is a cocartesian family.

$$\begin{array}{ccc}
 E & \forall e \xrightarrow{\exists(!)\pi_!(u,e)} u_!^P e & \\
 \pi \downarrow \Downarrow & & \\
 B & a \xrightarrow{\forall u} b & \rightsquigarrow (-)_!^P : \prod_{a, b : B} (a \rightarrow_B b) \rightarrow P(a) \rightarrow P(b)
 \end{array}$$

Cocartesian families: Functoriality

- Hence, any $u : a \rightarrow_B b$ induces a functor $u_! : P a \rightarrow P b$ acting on arrows as follows:

$$\begin{array}{ccc}
 E & & e \xrightarrow{P_!(u,e)} u_! e \\
 \downarrow & & \downarrow g \qquad \qquad \downarrow u_! g \\
 & & e' \xrightarrow{P_!(u,e')} u_! e' \\
 \Downarrow & & \\
 B & & a \xrightarrow{u} b
 \end{array}$$

- Externally, this corresponds to a Cat -valued ∞ -functor $B \rightarrow \text{Cat}$, where Cat is the $(\infty, 1)$ -category of small $(\infty, 1)$ -categories.

Cocartesian families: Examples

- ① For $g : C \rightarrow A \leftarrow B : f$, the comma projection $\partial_C : f \downarrow g \rightarrow C$.¹ (Hence, in particular the codomain projections $\partial_1 : A^{\Delta^1} \rightarrow A$.)
- ② The *domain projection* $\partial_0 : A^{\Delta^1} \rightarrow A$, provided A has all pushouts.
- ③ For any map $\pi : E \rightarrow B$ between Rezk types, the *free cocartesian fibration*:

$$\begin{array}{ccc}
 \pi \downarrow B & \longrightarrow & E \\
 \downarrow & \lrcorner & \downarrow \pi \\
 B^{\Delta^1} & \xrightarrow{\partial_0} & B \\
 \downarrow \partial_1 & & \\
 B & &
 \end{array}$$

$L(\pi) \equiv \partial_1$

In particular, the desired UMP holds: $- \circ \iota : \text{CocartFun}_B(L(\pi), \xi) \xrightarrow{\cong} \text{Fun}_B(\pi, \xi)$ for any cocartesian fibration $\xi : F \rightarrow B$.

¹ $f \downarrow g \simeq \Sigma_{b:B, c:C} (f b \rightarrow_A g c)$

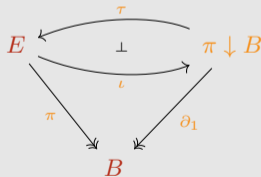
Cocartesian families: Characterization

Theorem (Cocartesian families via transport ([BW23], cf. [RV22]))

A given family $P : B \rightarrow \mathcal{U}$ is cocartesian if and only if the map

$$\iota \equiv \iota_P : E \rightarrow \pi \downarrow B, \quad \iota \langle b, e \rangle \equiv \langle \text{id}_b, e \rangle$$

has a fibered left adjoint $\tau \equiv \tau_P : \pi \downarrow B \rightarrow E$ as indicated in the diagram:



The idea is that $\tau : \pi \downarrow B \rightarrow_B E$ is the *transport map* $\tau(u, e) \equiv u_! e : P(u(1))$.

Cartesian and bicartesian families

- By (manual) dualization: obtain a theory of *cartesian* families $P : B \rightarrow \mathcal{U}$, with contravariant transport $u^* : P b \rightarrow P a$ and RARI condition.
- Combining both variances leads to *bicartesian* families, where $u_! \dashv u^* : P b \rightarrow P a$.

Bicartesian families: Examples

- The *Artin gluing* (or simply *gluing*) $\text{gl}(F) : C \downarrow F \twoheadrightarrow B$ of a functor $F : B \rightarrow C$, for Rezk types B and C , with C having all pullbacks:

$$\begin{array}{ccc}
 C \downarrow F & \longrightarrow & C^{\Delta^1} \\
 \text{gl}(F) \downarrow & \lrcorner & \downarrow \partial_1 \\
 B & \xrightarrow{F} & C
 \end{array}$$

- The *family fibration* of a cartesian fibration $\pi : E \twoheadrightarrow B$, where B has all pullbacks:

$$\begin{array}{ccc}
 \pi \downarrow B & \longrightarrow & E \\
 \downarrow & \lrcorner & \downarrow \pi \\
 \text{Fam}(\pi) \equiv \partial_1 & & B \\
 \downarrow & \longrightarrow & \downarrow \partial_0 \\
 B^{\Delta^1} & \longrightarrow & B \\
 \downarrow \partial_1 & & \\
 B & &
 \end{array}$$

Beck–Chevalley condition

Definition (Beck–Chevalley condition)

Let $P : B \rightarrow \mathcal{U}$ be a family over a Rezk type all of whose fibers are Rezk. Then P is said to satisfy the *Beck–Chevalley condition (BCC)* if for any dependent square of the form

$$\begin{array}{ccc}
 & d' \xrightarrow{f'} e' & \\
 & \downarrow g' \Downarrow & \downarrow g \\
 \tilde{P} & d \xrightarrow{f} e & \\
 \downarrow \Downarrow & & \\
 B & a' \xrightarrow{u'} b' & \\
 & \downarrow v' \lrcorner & \downarrow v \\
 & a \xrightarrow{u} b &
 \end{array}$$

it holds that: if f is cocartesian, and g, g' are cartesian, then f' is cocartesian.

Beck–Chevalley families

Definition

A map between $\pi : E \twoheadrightarrow B$ is a *Beck–Chevalley fibration* or a *cartesian fibration with internal sums* if:

- 1 The map π is a bicartesian fibration, *i.e.* a cartesian and cocartesian fibration.
- 2 The map π satisfies the Beck–Chevalley condition.

- Classical motivation:
- $\text{Fam}(\mathbb{C}) \rightarrow \mathbf{Set}$ with fibers \mathbb{C}^I has internal sums if and only if \mathbb{C} has small sums
- Bénabou’s perspective generalizes this to an arbitrary base

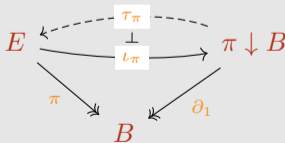
Beck–Chevalley families: Characterizations

Theorem (Characterizations of BCC fibrations, cf. [Str22])

Let $P : B \rightarrow \mathcal{U}$ be a cartesian family over a Rezk type B which has all pullbacks, with unstraightening $\pi : E \rightarrow B$ be a cartesian fibration. Then π is Beck–Chevalley fibration if and only if the mediating fibered functor

$$\iota_P : E \rightarrow_B \pi \downarrow B, \iota_P(b, e) := \langle b, \text{id}_b, e \rangle$$

has a fibered left adjoint which is also a cartesian functor:



Internal sums for gluing

Proposition (Internal sums for gluing, [Str22])

Let A and B be Rezk types with pullbacks and $F : A \rightarrow B$ an arbitrary functor (hence all its fibers are Rezk). Then the following are equivalent:

- 1 The functor F preserves pullbacks.
- 2 The gluing fibration $\text{gl}(F) \equiv \partial_1 : B \downarrow F \rightarrow A$ is a Beck–Chevalley fibration.

Extensive sums I

Recall from classical 1-category theory that a category \mathbb{C} with pullbacks and coproducts is *extensive* if and only if, for all small families $(A_i)_{i \in I}$ the induced functor $\prod_{i \in I} \mathbb{C}/A_i \rightarrow \mathbb{C}/\prod_{i \in I} A_i$ is an equivalence. This is equivalent to the condition that injections into finite sums are stable under pullback, and for any family of squares

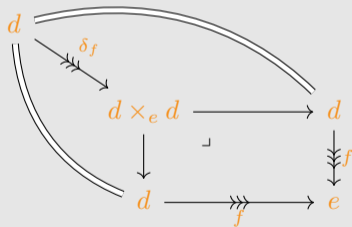
$$\begin{array}{ccc}
 B_k & \xrightarrow{g_k} & B \\
 f_k \downarrow & & \downarrow \\
 A_k & \longrightarrow & \prod_{i \in I} A_i
 \end{array}$$

all of these are pullbacks if and only if all $g_k : B_k \rightarrow B$ are coproduct cones. This generalizes fibrationally as follows.

Extensive sums II

Definition (Stable and disjoint internal sums)

Let $P : B \rightarrow \mathcal{U}$ be a lex fibration with internal sums over a Rezk type B . Then P has *stable* internal sums if cocartesian arrows are stable under arbitrary pullbacks. The internal sums of P are *disjoint*^a if for every cocartesian arrow $f : d \twoheadrightarrow^P e$ the fibered diagonal is cocartesian, too:



^aIn a category, a coproduct is *disjoint* if the inclusion maps are monomorphisms, and the intersection of the summands is an initial object.

Extensive sums III

Definition ((Pre-)Moens families)

Let B be a lex Rezk type. A lex Beck–Chevalley family $P : B \rightarrow \mathcal{U}$ is a *pre-Moens family* if it has stable internal sums. We call a pre-Moens family $P : B \rightarrow \mathcal{U}$ *Moens family* (or *lexextensive family* or *pre-geometric family*) if, moreover, all its (stable) internal sums are also disjoint.

When are internal sums extensive? I

Definition (Terminal elements)

Let B be a Segal type. An element $z : B$ is called *terminal* if $\text{isContr} \left(\sum_{x:B} \text{hom}_B(x, z) \right)$.

Definition (Choice of terminal elements)

Let B be a Rezk type and $P : B \rightarrow \mathcal{U}$ be a family with Rezk fibers such that every fiber has a terminal element. Then we denote, by type-theoretic choice, the section choosing fiberwise terminal elements by

$$\zeta_P \equiv \zeta : \prod_{b:B} P b,$$

i.e. for any $b : B$ the element $\zeta_b : P b$ is terminal.

We define

$$\omega' \equiv \omega'_P : B \rightarrow P z, \quad \omega'(b) \equiv \omega(\zeta_b) \equiv (!_b)!(\zeta_b).$$

When are internal sums extensive? II

Proposition (Stable disjoint sums in terms of extensive sums, cf. [Str22])

Let B be a lex Rezk type and $P : B \rightarrow \mathcal{U}$ be a Beck–Chevalley family. Then, the following are equivalent:

- The family P is a Moens family, i.e. P has stable disjoint sums.
- The bicartesian family P has internally extensive sums, i.e. for vertical arrows $f : d \rightarrow d'$, $k : e \rightarrow e'$, cocartesian arrows $g : e \twoheadrightarrow e'$, in a square

$$\begin{array}{ccc}
 d & \xrightarrow{h} & e \\
 f \downarrow \wr & & \downarrow \wr k \\
 d' & \xrightarrow{g} & e'
 \end{array}$$

the arrow $h : d \rightarrow e$ is a cocartesian arrow if and only if the square is a pullback.

When are internal sums extensive? III

Proposition (cont'd)

- The internal sums in P are Lawvere-extensive, i.e. in any square of the form

$$\begin{array}{ccc}
 d & \xrightarrow{h} & e \\
 \wr_{!_d^a} \downarrow & & \downarrow k \\
 \zeta_a & \xrightarrow{P_1(!_a, \zeta_a)} & \omega'(a)
 \end{array}$$

where $k : e \rightsquigarrow \omega'(a)$ is vertical the arrow $h : d \rightarrow e$ is cocartesian if and only if the given square is a pullback.

- Let $z : B$ be a terminal element in B . For any $a : B$, the transport functor $(!_a)_! : P a \rightarrow P z$ reflects isomorphisms and $k^* P_1(!_a, \zeta_a)$ is cocartesian in case k is vertical.

When are internal sums extensive? IV

Corollary

Let B be a Rezk type and $P : B \rightarrow \mathcal{U}$ a Moens family.

Then, for all arrows $u : a \rightarrow b$ in B and points $d : P a$, the functor

$$u_! \downarrow d : P a \downarrow d \rightarrow P b \downarrow u_! d$$

is an equivalence. In particular, for $P a \simeq P a \downarrow \zeta_a$ we have equivalences

$$u_! \downarrow \zeta_a : P a \downarrow \zeta_a \simeq P b \downarrow u_! \zeta_a, \quad (!_a)_! \downarrow \zeta_a : P a \downarrow \zeta_a \simeq P z \downarrow \omega'(a).$$

When are internal sums extensive? V

Corollary (Pullback preservation of covariant transport in Moens families)

Let B be a Rezk type and $P : B \rightarrow \mathcal{U}$ a Moens family.

Then for all $u : a \rightarrow b$ in B , the covariant transport functor

$$u_! : P a \rightarrow P b$$

preserves pullbacks.

Moens' Theorem for synthetic $(\infty, 1)$ -categories I

We can adapt the proof from [Str22]:

Theorem (Moens' Theorem in simplicial HoTT (W, cf. [Str22]))

For a small lex Rezk type $B : \mathcal{U}$ the type

$$\text{MoensFam}(B) := \sum_{P: B \rightarrow \mathcal{U}} \text{isMoensFam } P$$

of \mathcal{U} -small Moens families is equivalent to the type

$$B \downarrow^{\text{lex}} \text{LexRezk} := \sum_{C: \text{LexRezk}} (B \rightarrow^{\text{lex}} C)$$

of lex functors from B into the type LexRezk of \mathcal{U} -small lex Rezk types.

Moens' Theorem for synthetic $(\infty, 1)$ -categories II

Idea: Quasi-inverses given by “terminal transport” and gluing

$$\begin{array}{ccc}
 & \xrightarrow{\Phi} & \\
 \text{MoensFam}(B) & \simeq & B \downarrow^{\text{lex}} \text{LexRezk} \\
 & \xleftarrow{\Psi} &
 \end{array}$$







i.e.

$$\Phi(P : B \rightarrow U) \equiv \langle Pz, \lambda b. (!_b)!(\zeta_b) \rangle : B \rightarrow Pz, \quad \Psi(F : B \rightarrow C) \equiv \text{St}_B(\text{gl}(F) : C \downarrow F \rightarrow B) :$$

with $z : B$ terminal, and $\zeta : \Pi_B P$ picking the terminal element in each fiber:

$$\begin{array}{ccc}
 E & \zeta_b \dashrightarrow & \Phi_P(b) \\
 \pi_P \downarrow \dashrightarrow & & \\
 B & b \overset{!_b}{\dashrightarrow} & z
 \end{array}$$

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Thank you for your attention!